



CG99/470



The Paint Office PEOPLE
Concept House
Cardiff Road
Newport
South Wales
NP9 1RH

REC'D 3 1 MAR 1999 WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

An Executiv

Signed

Dated

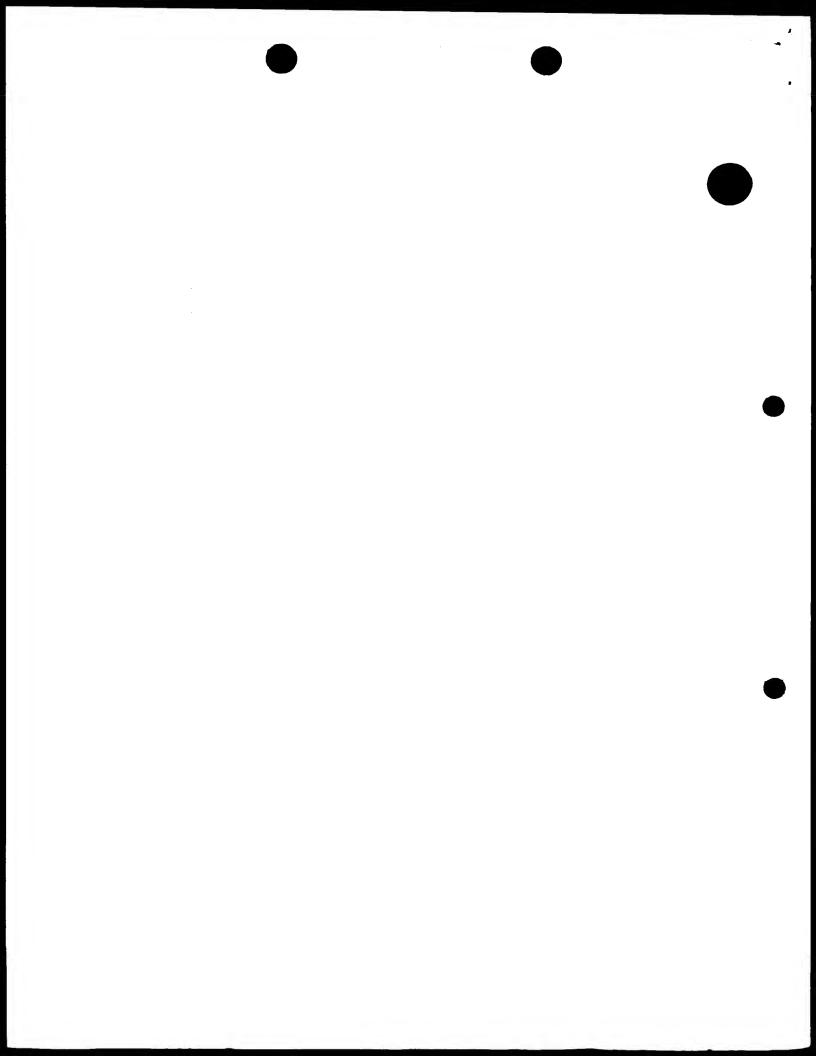
a source

-8 MAR 1999

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

An Executive Agency of the Department of Trade and Industry



Patents Form 1/77

Patents Act 1977 (Rule 16)



25FEB98 E340792-1 D02834__ P01/7700 25.00 - 9803817.7

THE PATENT OFFICE A 25 FEB 1998

The Patent Office

Cardiff Road Newport Gwent NP9 1RH

1. Your reference

you fill in this form)

15251 LgCm

2. Patent application number (The Patent Office will fill in this part)

9803817.7

25 fft 1888

3. Full name, address and postcode of the or of each applicant (underline all surnames)

est for grant of a patent

notes on the back of this form. You can also get

an explanatory leaflet from the Patent Office to help

AEA Technology plc 329 Harwell Didcot, Oxfordshire, OX11 ORA United Kingdom

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

England and Wales

0.9 69374001.

4. Title of the invention

A component for gas treatment

5. Name of your agent (if you have one)

Marcus John LOFTING

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

AEA Technology plc Patents Department, 329 Harwell Didcot,Oxfordshire,OX11 ORA

Patents ADP number (if you know it)

07298474001.

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Priority application number (if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Country

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.See note (d))

Yes

Patents Form 1/77

Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

10 Description 3 Claim(s) 1 Abstract





10. If you are also filing any of the following, state how many against each item.

Priority documents

Drawing(s)

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

1

Request for substantive examination (Patents Form 10/77)

Any other documents

(please specify)

I/We request the grant of a patent on the basis of this application.

Signature M.J. LOFTING (On belyalf of AEA

Technology pla

23.2.98

by virtue of a Power of Atterney dated 26th March 1996) person to contact in the United Kingdom

01235 432037

Mrs P A Stewart

Warning

11.

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting uritten permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need belp to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

A component for gas treatment

The present invention relates to a component for the treatment of gases, and in particular to a component for exposing gas to a non-thermal plasma, desirably in the presence of a catalyst. More specifically, the invention relates to a component incorporated in a reactor for the reduction of polluting components such as carbonaceous and nitrogenous combustion products emitted in the exhaust of internal combustion engines.

One of the major problems associated with the development and use of internal combustion engines is the noxious exhaust emissions from such engines. Two of the most deleterious materials, particularly in the case of 15 diesel engines, are particulate matter (primarily carbon) and oxides of nitrogen (NO_x) . Increasingly severe emission control regulations are forcing internal combustion engine and vehicle manufacturers to find more 20 efficient ways of removing these materials in particular from internal combustion engine exhaust emissions. Unfortunately, in practice, it is found that techniques which improve the situation in relation to one of the above components of internal combustion engine exhaust 25 emissions tend to worsen the situation in relation to the other. Even so, a variety of systems for trapping particulate emissions from internal combustion engine exhausts have been investigated, particularly in relation to making such particulate emission traps capable of 30 being regenerated when they have become saturated with particulate material.

Examples of such diesel exhaust particulate filters are to be found in European patent application EP 0 010 384; US patents 4,505,107; 4,485,622; 4,427,418; and 4,276,066; EP 0 244 061; EP 0 112 634 and EP 0 132 166.

In all the above cases, the particulate matter is removed from diesel exhaust gases by a simple physical trapping of particulate matter in the interstices of a porous, usually ceramic, filter body, which is then 5 regenerated by heating the filter body to a temperature at which the trapped diesel exhaust particulates are burnt off. In most cases the filter body is monolithic, although EP 0 010 384 does mention the use of ceramic beads, wire meshes or metal screens as well. US patent 4,427,418 discloses the use of ceramic coated wire or ceramic fibres.

GB patent 2,274,3412 discloses a method and apparatus for removing particulate and other pollutants from internal combustion engine exhaust gases, in which the exhaust gases are passed through a bed of charged pellets of material, preferably ferroelectric, having high dielectric constant (that is of the order of at least 1,000). In addition to removing particulates by oxidation, especially electric discharge assisted oxidation, there is disclosed the reduction of $NO_{\mathbf{x}}$ gases to nitrogen, by the use of pellets adapted to catalyse the $NO_{\mathbf{x}}$ reduction as exemplified by the use of barium titanate as the ferroelectric material for the pellets.

25

10

15

20

The use of a reactor comprising a bed of beads of spherical, pellet, chip or other suitable form presents a problem, particularly for motor vehicles, in that the beads tend to wear or break up under the attrition to which they are subjected in use. Whilst monoliths of 30 foam or honeycomb configuration can be adopted, we have found satisfactory formation of a non-thermal plasma is difficult to achieve with known forms of these configurations.

It is an object of the present invention to provide a gas permeable component of dielectric material, which may be, or may be combined with, a catalytic material, for the treatment of gas, in a form which can be extruded or otherwise formed into a monolithic structure, and which will effectively support the formation of a non-thermal plasma when subjected to an electric potential.

The invention provides, in one of its aspects, a component for use in a gas treatment device, which component comprises dielectric material having apertures extending therethrough in a direction of gas flow through the component when in use, the apertures having a reentrant configuration in cross-section and being such that there is interconnection through dielectric material 15 of those regions of dielectric material which define opposite sides of the narrowest part of the apertures as measured in at least one direction transverse to the said direction of gas flow, whereby when an electric potential is applied across the component in the said transverse direction, or one of the said transverse directions, the voltage drop across the said narrowest part of the apertures is greater than the voltage drop thereacross would be if the aperture were filled with the dielectric 25 material.

For the purpose of providing the electric potential, a pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the said transverse direction, or one of the said transverse directions.

In one arrangement according to the invention, the apertures are shaped to provide interconnection through dielectric material of those regions of dielectric material which define opposite sides of the narrowest

- 4 -

part of the apertures as measured in two mutually orthogonal directions transverse to the said direction of gas flow, a first pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in one said transverse direction, and a second pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the other, orthogonal, transverse direction.

10

15

The apertures are such as to promote formation of a non-thermal plasma therein when the component is in use and an electric potential is applied across the electrode pair or pairs. For this purpose, for example, each aperture is shaped in cross-section to taper on both or all sides towards the said narrowest part of the aperture, thereby to encourage transfer of electrical discharge from the said narrowest part into the tapered parts of the apertures.

20

Preferably the dielectric material is chosen to have in the presence of an electrical discharge a catalytic action in the reduction of nitrogenous oxides.

25 Preferably the dielectric material is a barium titanate containing material.

The invention provides, in another of its aspects, a reactor for reducing pollution from exhaust emissions from an internal combustion engine, comprising a reactor chamber adapted to form part of an internal combustion engine exhaust system, the reactor chamber including a component as aforesaid, through which component exhaust gases are constrained to pass.

- 5 -Specific constructions of component and reactor embodying the invention will now be described by way of example and with reference to the drawings filed herewith, in which: 5 Figure 1 is a perspective view of part of a component, Figure 2 is a perspective view of part of another 10 component, Figures 3, and 4, are diagrammatic representations of alternative cross-sectional shapes for the component, and 15 Figure 5 is a diagrammatical representation of a reactor incorporating a component according to the invention. Figure 1 shows a component 10 which can be formed by 20 extrusion from a dielectric material 11. Our preferred material is one containing barium titanate which, for extrusion purposes, may be fabricated from a barium titanate powder together with a binder of silica or a silicon and titanium containing gel (which may be referred to as a silico-titanate gel). As may be seen from Figure 1, the extrusion has the form of a series of parallel plates 12 between which, and integral with which, is a matrix of rods 13 of generally rhombus shape in cross-section extending parallel with one another between the plates 12 along the length of the component 10. The series of rods 13 between each pair of plates 12 35 provides a corresponding series of apertures 14 extending

Electrodes (not shown) positioned on the respective 5 two sides of the component 10 perpendicular to the plane of the plates 12 enable an electric potential to be applied to the component in a direction parallel with the plates 12 and transverse to the length of the apertures 14.

10

15

35

The re-entrant shape of the apertures 14 in crosssection has the effect that voltage drop derived from the charged dielectric material is concentrated in the region where the space between one rod 13 and its adjacent rod is narrowest. This configuration has the effect of promoting the formation of non-thermal plasma in these narrow spaces. However, it is a feature of plasma formed in this way that it tends to expand and travel along outwardly tapering spaces which communicate with the 20 narrow space where plasma tends to be initiated. The shape of the apertures 14 is thus conducive to the formation of plasma which fills the entire void space of the component 10.

A feature of the configuration shown in Figure 1 is 25 that the plates 12 provide a continuous dielectric path between the electrodes and between each such path there is a series of discharge gaps provided by the narrowest part of the apertures 14, the discharge gaps being 30 aligned to be parallel with the electric field set up between the electrodes.

Figure 2 illustrates another configuration in which component 15 can be formed by extrusion. Again, the configuration is based upon an array of generally rhombus shaped rods 16,17. Alternate rows and columns of the

rods 16, 17 are joined at their apices by cruciform regions 18. The intervening rows and columns provide a series of discharge gaps 19. The rods 16, 17 are so shaped that the narrow spaces between adjacent rods which communicate with the discharge gaps 19 are tapered, with the narrowest region of the taper at the gaps 19. As explained above, this promotes the transfer of plasma formed in the discharge gaps 19 along the tapered spaces. It will be seen that adjacent each aligned row of discharge gaps 19 is an aligned row of interconnected rods 16. Similarly, adjacent to each aligned column of discharge gaps 19 is an aligned column of interconnected rods 17.

Electrodes (not shown) covering respectively the sides 21 and 22 of the component 15 enable an electrical potential to be applied across the component 15. By virtue of the juxtaposition of interconnected rods 16 in one row and cross connected rods 17 with intervening discharge gaps 19 in the adjacent row, application of an appropriate electrical potential in this way leads to the generation of plasma discharge in the gaps 19 and expansion of the plasma into the tapering spaces to fill the entire void structure of the component 15.

25

It will be apparent from the symmetry of the component shown in Figure 2, that electrodes (not shown) may be positioned to cover the sides 23 and 24 respectively so as to enable an electrical potential to be applied parallel with the columns of rods 16, 17. Provided there is an appropriate insulating gap between electrodes on the adjacent sides which may at any instant be at a different potential, electrical excitation may be applied to all four sides.

It will be appreciated that controlled arrays of discharge gaps between regions of dielectric material interconnected to concentrate potential drop across the discharge gaps can be achieved with a variety of different configurations of extruded matrix. Figure 3 shows a variant of the Figure 2 configuration, similar components bearing the same reference numerals distinguished by the suffix "a". Figure 4 shows a variant of the Figure 1 configuration, based upon cylindrical rods. The reference numerals used in Figure 4 correspond with those of Figure 1, distinguished by the suffix "a".

Figure 5 shows diagrammatically in cross-section a component 25 comprising an extruded monolith having a configuration (chosen as discussed further below) as shown in one of the Figures 1 to 4 and incorporated in a plasma reactor for the purification of internal combustion engine exhaust emissions.

20

25

15

The component 25 is mounted within a cylindrical stainless steel chamber 26 which is arranged to be connected to an earthing point at 27 and which has an inlet nozzle 28 by means of which it can be connected to the exhaust system of an internal combustion engine, and a similar outlet nozzle 29. Exhaust gas flows as indicated by the arrows A axially through the apertures of the component 25.

Because of the cylindrical geometry, it is necessary for the extruded monolith component 25 to have a symmetrical configuration such as that of Figure 2 cut or shaped into a cylinder with an axial bore 31. The axial bore 31 is closed at each end to ensure all gas flow is through the apertures of the monolith component 25. An outer electrode is provided either by the chamber 26

itself or by a cylindrical metallic sheath on the component 25 and in electrical contact with the chamber 26. An inner electrode 32 is provided in the form of a cylindrical lining for the bore 31. The electrode 32 is 5 connected via a high tension lead-through 10 to a source 9 of electrical potential sufficient to excite a plasma in the exhaust gases in the void spaces within the component 25. A convenient potential for this purpose is a potential of about 10 kV to 30 kV, which may be a pulsed direct potential or a continuously varying 10 alternating potential, or may be an interrupted continuous direct potential. Typically we employ a potential of 20 kV per 30 mm of bed depth.

In a modified arrangement, in which any of the 15 configurations of Figure 1,2,3,or 4 may be employed, the chamber 26 and component 25 are rectangular in cross section. For this configuration, it is not necessary to have a central bore corresponding to the bore 31. Electrodes may be positioned on opposed sides of the 20 component 25, but in this case it is necessary to provide electrical insulation between the high voltage electrode and the chamber 26. For this reason, it may be preferable to provide the high voltage electrode as a sheet positioned centrally within the component 25. 25

The material of the component 25 is chosen in order to meet the requirements of formation by extrusion, and the dielectric and catalytic properties for the purpose 30 of reducing pollutants from internal combustion engine exhaust gases. The material is preferably a ferroelectric material such as barium titanate or calcium titanate which, for extrusion, will need to be prepared in powder form into which a binder material is incorporated. Gamma alumina may serve as such a binder, but a preferred binder is a silicon and titanium

35

containing material for example in the form of a silicatitania gel (which may be referred to as a silicotitanate gel). The ferroelectric material may be mixed with a dielectric material such as zirconia or titania, or, for some applications, it may be appropriate to use dielectric material alone or mixtures of dielectric materials. Where titania is used, it is advantageous to use the anatase phase or anatase phase in combination with textured routile in order to benefit from the ophotocatalytic properties of the material in these forms. Other materials such as alumina or a perovskite may be incorporated for the catalytic properties which they impart to the product matrix.

Claims

- A component for use in a gas treatment device, which component comprises dielectric material having apertures extending therethrough in a direction of gas flow through the component when in use, the apertures having a reentrant configuration in cross-section and being such that there is interconnection through dielectric material of those regions of dielectric material which define 10 opposite sides of the narrowest part of the apertures as measured in at least one direction transverse to the said direction of gas flow, whereby when an electric potential is applied across the component in the said transverse direction, or one of the said transverse directions, the 15 voltage drop across the said narrowest part of the apertures is greater than the voltage drop thereacross would be if the aperture were filled with the dielectric material.
- 20 2. A component as claimed in Claim 1, wherein a pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in the said transverse direction, or one of the said transverse directions.

25

3. A component as claimed in Claim 1, wherein the apertures are shaped to provide interconnection through dielectric material of those regions of dielectric material which define the opposite sides of the narrowest part of the apertures as measured in two mutually orthogonal directions transverse to the said direction of gas flow, a first pair of electrodes is positioned one on one side and the other on the other side of the component so as to be spaced apart from one another in one said transverse direction, and a second pair of electrodes is positioned one on one side and the other on the other on the other

- 12 side of the component so as to be spaced apart from one another in the other, orthogonal, transverse direction. A component as claimed in Claim 2 or Claim 3, 5 wherein the said apertures are such as to promote formation of a non-thermal plasma therein when the component is in use and an electric potential is applied across the electrode pair or pairs. A component as claimed in any one of the preceding 10 claims, wherein each aperture is shaped in cross-section to taper on both or all sides towards the said narrowest part of the aperture, thereby to encourage transfer of electrical discharge from the said narrowest part into the tapered parts of the apertures. 15 A component as claimed in any one of the preceding 6. claims, wherein the dielectric material is chosen to have in the presence of an electrical discharge a catalytic action in the reduction of nitrogenous oxides. 20 A component as claimed in any one of the preceding 7. claims, wherein the dielectric material is a barium titanate containing material. 25 A reactor for reducing pollution from exhaust emissions from an internal combustion engine, comprising a reactor chamber adapted to form part of an internal combustion engine exhaust system, the reactor chamber 30 including a component as claimed in any one of the preceding claims, through which component exhaust gases are constrained to pass. A component substantially as hereinbefore described 35 with reference to, and illustrated in, Figures 1 to 5 of the drawings filed herewith.



10. A reactor substantially as hereinbefore described with reference to, and illustrated in, Figure 5 of the drawings filed herewith.

5

15251 LgCm

10

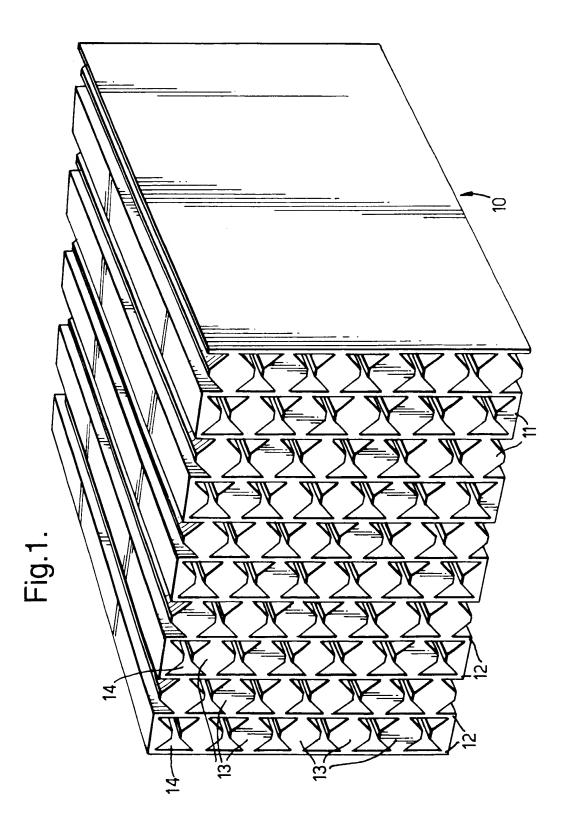
M.J. Lofting

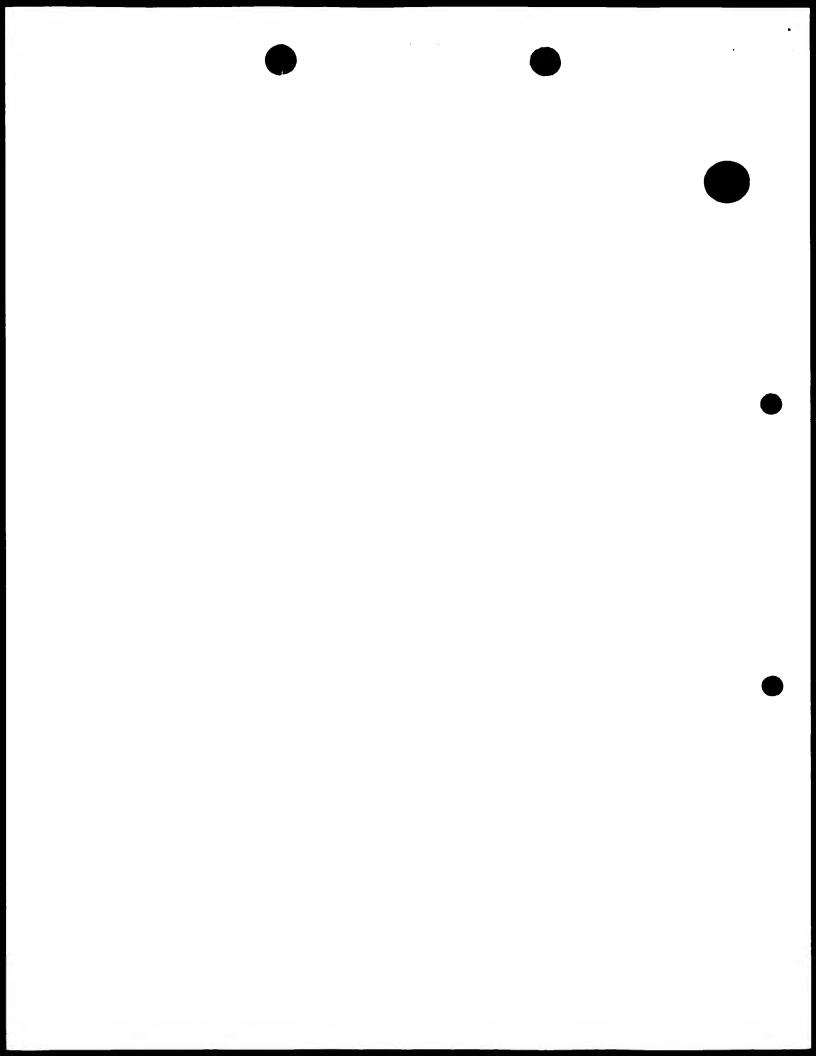
Chartered Patent Agent Agent for the Applicants A component for gas treatment

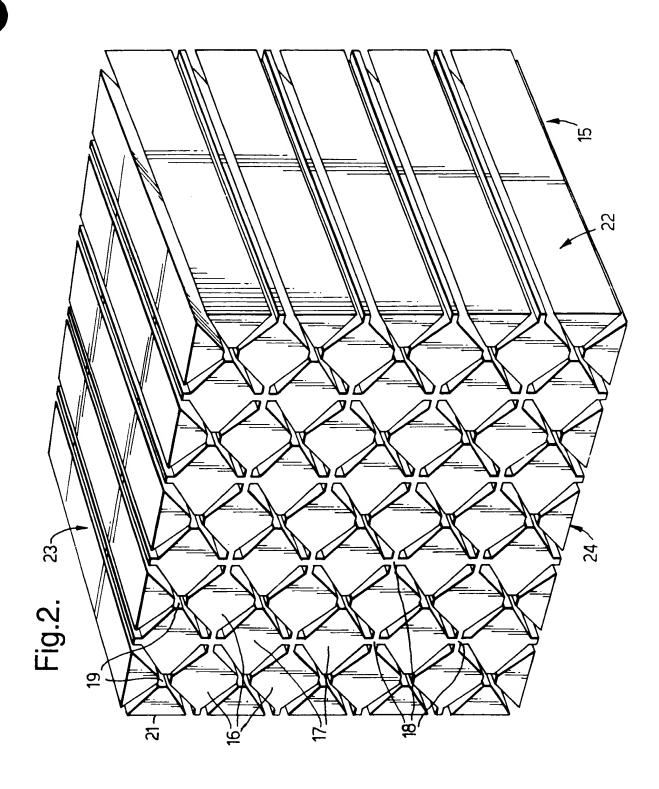
A component of dielectric material for use in a gas treatment device in which the gas is exposed to an electric discharge, especially for the formation of a non-thermal plasma. The component is formed with axially extending apertures, shaped in cross section to concentrate voltage drop derived from the charged dielectric material in the region where the apertures are narrowest.

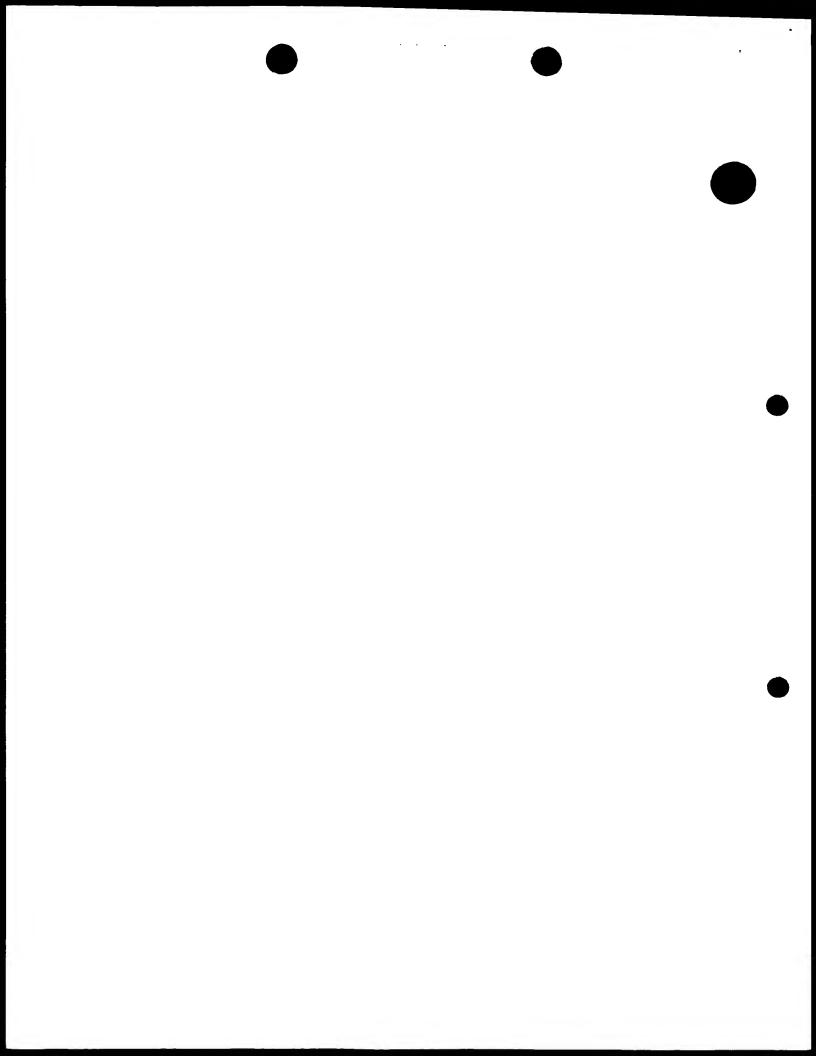
15

15251 LgCm









3/4

Fig.3.

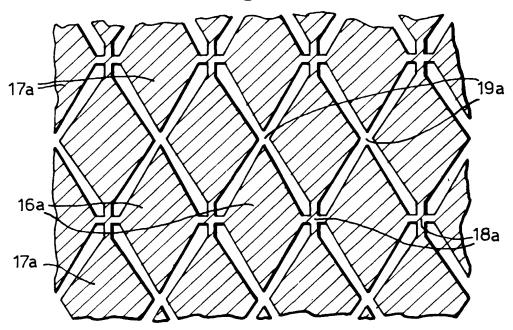
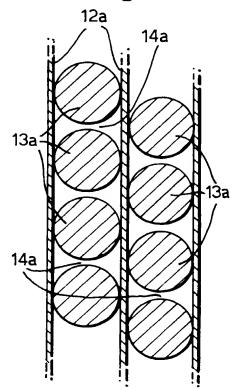


Fig.4.



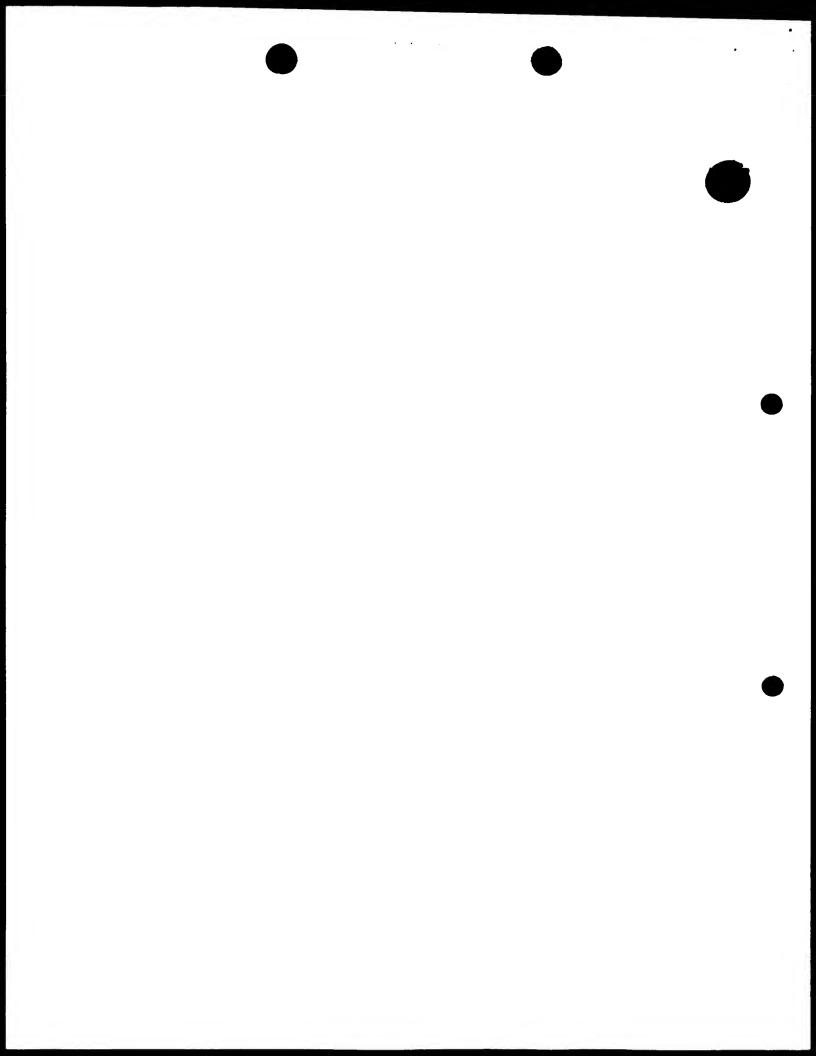
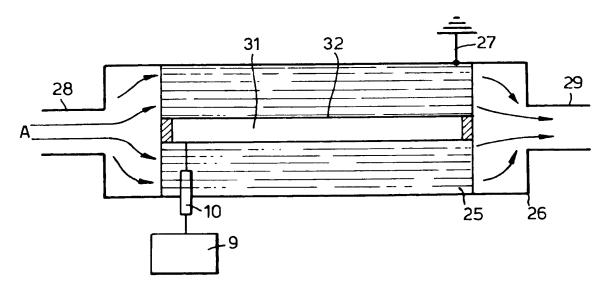


Fig.5.



10 = del